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Commissioner for Patents  
P.O. Box 1450

Alexandria, VA 22313-1450

*Paul D Bangor*  
Attorney for Applicants



Date: 19 December 2006

**Application No.** : 10/632,525  
**Applicant:** : Aiken, et al.  
**Filed:** : 31 July 2003  
**Titled:** : SYSTEM AND METHOD FOR WIRELESS REMOTE CONTROL OF  
LOCOMOTIVES  
**Art Unit** : 2616  
**Examiner** : Chin, Wellington  
**Atty Docket No.** : DB001213-000  
**Customer No.** : 24122

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Enclosed for filing in the above-captioned application, please find the following:

- Information Disclosure Statement;
- Form PTO/SB/08A; and
- a copy of the International Search Report.

Also enclosed is a return postcard. Please date stamp and mail the postcard in order to acknowledge receipt of the above-reference correspondence. The Commissioner is hereby authorized to charge any underpayment or credit any overpayment to our Deposit Account No. 20-0888. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

*Paul D Bangor*

Paul D. Bangor, Jr.  
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Dated: 19 December 2006

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*Paul D. Banger*  
Attorney for Applicant(s)

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#### Information Disclosure Statement

Sir:

Pursuant to the provisions of 37 CFR §§1.56 and 1.97-98, enclosed herewith is:

☒ Form PTO/SB/08A

listing references for consideration by the Examiner. A copy of the International Search Report is enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is "prior art" to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability as defined in 37 CFR §1.56, or that no other material information exists, and shall not be construed as an admission against applicant(s) interest in any manner.

The Information Disclosure Statement submitted herewith is being filed pursuant to:

- ☐ 37 C.F.R. §1.97(b)(1); no fee is required;  
OR
- ☐ 37 C.F.R. §1.97(b)(2); no fee is required;  
OR
- ☒ 37 C.F.R. §1.97(b)(3); no fee is required;  
OR
- ☐ 37 C.F.R. §1.97(b)(4); no fee is required;  
OR
- ☐ 37 C.F.R. §1.97(c);  
AND either

☐ The fee set forth in §1.17(p) is attached; OR

Applicant certifies pursuant to 37 CFR §1.97(e) that:

- ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item contained in the Information Disclosure Statement was known to any individual designated under 37 CFR §1.56(c) more than three months prior to the filing of this statement;

OR

- ☐ 37 C.F.R. §1.97(d); the fee set forth in §1.17(p) is attached,  
AND
- ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item contained in the Information Disclosure Statement was known to any individual designated under 37 CFR §1.56(c) more than three months prior to the filing of this Statement.

Applicants respectfully request that the listed references be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning a copy of the attached form(s) with the next Official Action.

Respectfully submitted,



Dated: 19 December 2006

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Approved for use through 03/31/2007. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number 10/632,525  
Filing Date 31 July 2003  
First Named Inventor Aiken et al.  
Art Unit 2616  
Examiner Name Chin, Wellington  
Attorney Docket Number DB001213-000

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/PN/		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,658,336 B2	12-02-2003	Browne et al.	
		US- 6,496,775 B2	12-17-2002	McDonald, Jr. et al.	
		US- 6,370,381 B1	04-09-2002	Minnick et al.	
		US- 6,243,372 B1	06-05-2001	Petch et al.	
		US- 6,088,590	07-11-2000	Anderson et al.	
		US- 5,847,679	12-08-1998	Yee et al.	
		US- 5,737,330	04-07-1998	Fulthorp et al.	
		US- 5,732,076	03-24-1998	Ketseoglou et al.	
		US- 5,696,903	12-09-1997	Mahany	
		US- 5,691,980	11-25-1997	Welles, II et al.	
		US- 5,689,502	11-18-1997	Scott	
		US- 5,648,955	07-15-1997	Jensen et al.	
		US- 5,537,414	07-16-1996	Takiyasu et al.	
		US- 5,526,357	06-11-1996	Jandrell	
		US- 5,355,511	10-11-1994	Hatano et al.	
		US- 5,225,842	07-06-1993	Brown et al.	
/PN/		US- 2002/0089434 A1	07-11-2002	Ghazarian	
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> Number "Kind Code" (if known)				

Examiner Signature

/Phuongchau Nguyen/

Date Considered

01/08/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.